



**DEPARTMENT OF CONSUMER AFFAIRS**  
CALIFORNIA BOARD OF ACCOUNTANCY  
2000 EVERGREEN STREET, SUITE 250  
SACRAMENTO, CA 95815-3832  
TELEPHONE: (916) 263-3680  
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**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)  
PUBLIC MEETING NOTICE FOR THE CBA STRATEGIC PLANNING WORKSHOP,  
ENFORCEMENT PROGRAM OVERSIGHT COMMITTEE (EPOC), LEGISLATIVE  
COMMITTEE (LC), AND CBA MEETINGS**

**DATE:** Wednesday, July 25, 2012

**CBA STRATEGIC PLANNING  
WORKSHOP**

**TIME:** 10:00 a.m. to 4:00 p.m.

**DATE:** Thursday, July 26, 2012

**COMMITTEE MEETING (EPOC)**

**TIME:** 9:00 a.m.

**COMMITTEE MEETING (LC)**

**TIME:** 9:30 a.m., or upon adjournment  
of the EPOC meeting.

**CBA MEETING**

**TIME:** 10:00 a.m. to 5:00 p.m.

**PLACE:** Tsakopoulos Library Galleria  
828 I Street  
Sacramento, CA 95814  
Telephone: (916) 264-2800  
Fax: (916) 264-2809

Enclosed for your information is a copy of the agendas for the Strategic Planning Workshop, EPOC, LC and CBA meetings on July 25-26, 2012. For further information regarding these meetings, please contact:

Kari O'Connor, Board Relations Analyst  
(916) 561-1716, or [koconnor@cba.ca.gov](mailto:koconnor@cba.ca.gov)  
California Board of Accountancy  
2000 Evergreen Street, Suite 250  
Sacramento, CA 95815

An electronic copy of this notice can be found at <http://www.dca.ca.gov/cba/calendar.shtml>

**The next CBA meeting is scheduled for September 20-21, 2012 in Southern CA.**

The meeting is accessible to individuals who are physically disabled. A person who needs a disability-related accommodation or modification in order to participate in the meeting may make a request by contacting Kari O'Connor at (916) 561-1718, or email [koconnor@cba.ca.gov](mailto:koconnor@cba.ca.gov), or send a written request to the CBA Office at 2000 Evergreen Street, Ste. 250, Sacramento, CA 95815. Providing your request is at least five (5) business days before the meeting will help to ensure availability of the requested accommodation.



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**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)**  
**ENFORCEMENT PROGRAM OVERSIGHT COMMITTEE (EPOC)**  
**AGENDA**

Thursday July 26, 2012  
9:00 a.m.

Tsakopoulos Library Galleria  
828 I Street  
Sacramento, CA 95814  
Telephone: (916) 264-2800  
Fax: (916) 264-2809

Roll Call and Call to Order (**Diana Bell, EPOC Chair**).

- I. Approve Minutes of the May 24, 2012 EPOC Meeting (**Diana Bell, EPOC Chair**).
- II. Discussion and Possible Action on Defining the Responsibilities of the CBA Member Liaison to the Enforcement Advisory Committee (EAC) (**Rafael Ixta, Enforcement Chief**).
- III. Discussion and Possible Action on Establishing Guidelines for EPOC Members to Audit a Closed and Finalized Case (**Rafael Ixta**).
- IV. Public Comments.\*
- V. Agenda Items for Next Meeting.

Adjournment.

Action may be taken on any item on the agenda. In accordance with the Bagley-Keene Open Meetings Act, all meetings of the EPOC are open to the public.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the EPOC prior to the EPOC taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the EPOC. Individuals may appear before the EPOC to discuss items not on the agenda; however, the EPOC can take no official action on these items at the time of the same meeting. (Government Code sec. 11125.7(a).)

CBA members who are not members of the EPOC may be attending the meeting. However, if a majority of members of the full board are present at the EPOC meeting, members who are not members EPOC may attend the meeting only as observers.



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**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)**  
**LEGISLATIVE COMMITTEE (LC)**  
**AGENDA**

Thursday July 26, 2012  
 9:30 a.m.

Or upon adjournment of the  
 Enforcement Program Oversight Committee

Tsakopoulos Library Galleria  
 828 I Street  
 Sacramento, CA 95814  
 Telephone: (916) 264-2800  
 Fax: (916) 264-2809

Roll Call and Call to Order (**Sally Anderson, LC Chair**).

- I. Approve Minutes of the May 24, 2012 LC Meeting (**Sally Anderson**).
- II. Update on Bills on Which the CBA Has Taken a Position (AB 1345, AB 1409, AB 1537, AB 1588, AB 1904, AB 1914, AB 1982, AB 2022, AB 2041, AB 2570, SB 103, SB 975, SB 1099, SB 1327 and SB 1576) (**Matthew Stanley, CBA Staff**).
- III. Consideration of Position on SB 1405: Accountancy: Military Service: Practice Privilege (**Matthew Stanley**).
- IV. Additional Legislation Impacting the CBA Identified by Staff After the Posting of the Meeting Notice (**Matthew Stanley**).
- V. Discussion and Possible Action to Amend Business and Professions Code Section 5076 – Peer Review (**Matthew Stanley**).
- VI. Public Comments.\*
- VII. Agenda Items for Next Meeting.

Adjournment.

Action may be taken on any item on the agenda. In accordance with the Bagley-Keene Open Meetings Act, all meetings of the LC are open to the public.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the LC prior to the LC taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the LC. Individuals may appear before the LC to discuss items not on the agenda; however, the LC can take no official action on these items at the time of the same meeting. (Government Code sec. 11125.7(a).)

CBA members who are not members of the LC may be attending the meeting. However, if a majority of members of the full board are present at the LC meeting, members who are not members LC may attend the meeting only as observers.



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**DEPARTMENT OF CONSUMER AFFAIRS (DCA)  
CALIFORNIA BOARD OF ACCOUNTANCY (CBA)**

**CBA MEETING  
AGENDA**

**Thursday, July 26, 2012  
10:00 a.m. – 5:00 p.m.**

Tsakopoulos Library Galleria  
828 I Street  
Sacramento, CA 95814  
Telephone: (916) 264-2800  
Fax: (916) 264-2809

**Important Notice to the Public**

The order of agenda items other than those identified as "time certain" are approximate. Agenda items may be discussed and action taken out of order at the discretion of the CBA President.

**July 26, 2012**

- Roll Call and Call to Order (**Marshal Oldman, President**).
- I. Report of the President (**Marshal Oldman**).
  - II. Report of the Vice President (**Leslie LaManna**).
    - A. Recommendations for Appointments/Reappointments to the Enforcement Advisory Committee (EAC).
    - B. Recommendations for Appointments/Reappointments to the Qualifications Committee (QC).
    - C. Recommendations for Appointments/Reappointments to the Peer Review Oversight Committee (PROC).
  - III. Report of the Secretary/Treasurer (**Michael Savoy**).

- A. Discussion on Governor's Budget.
- IV. Report of the Executive Officer (EO) **(Patti Bowers)**.
  - A. Update on Staffing.
  - B. Update on CBA 2010-2012 Communications and Outreach Plan **(Lauren Hersh, Information and Planning Manager)**.
  - C. Discussion and Possible Action to Amend Business and Professions Code Section 5008 Regarding Eliminating the Requirement that the CBA Distribute the UPDATE Publication and Instead Allow that it be Made Available to Licensees **(Lauren Hersh)**.
- V. Report of the Licensing Chief **(Dominic Franzella)**.
  - A. Report on Licensing Division Activity.
- VI. Report of the Enforcement Chief **(Rafael Ixta)**.
  - A. Enforcement Case Activity and Aging Report.
  - B. Citation and Fine Activity Report.
  - C. Reportable Events Report.
  - D. Update on Peer Review Program.
  - E. Results of the 3<sup>rd</sup> Quarter Performance Measures Report to DCA.
- VII. Regulation Hearing and Possible Action on Proposed Regulations
  - A. Regulation Hearing Regarding Title 16, California Code of Regulations (CCR) Sections 40 and 45 – Peer Review Reporting **(Matthew Stanley, CBA Staff)**.
  - B. Discussion and Possible Action to Adopt or Amend Proposed Text at Title 16, California Code of Regulations (CCR) Sections 40 and 45 – Peer Review Reporting **(Matthew Stanley)**.
  - C. Discussion and Possible Action on Issuing a 15-Day Notice of Modified Text at Title 16, California Code of Regulations (CCR) Sections 15,15.1,15.2, 15.3,15.4,70,71, and 87.1 – Retired Status **(Dominic Franzella)**.

**Time Certain**  
12:30 p.m.

VIII. Committee and Task Force Reports.

A. Enforcement Program Oversight Committee (EPOC) (**Diana Bell, Chair**).

1. Report of the July 26, 2012 EPOC Meeting.
2. Discussion and Possible Action on Defining the Responsibilities of the CBA Member Liaison to the Enforcement Advisory Committee.
3. Discussion and Possible Action on Establishing Guidelines for EPOC Members to Audit a Closed and Finalized Case.

B. Legislative Committee (LC) (**Sally Anderson, Chair**).

1. Report of the July 26, 2012 LC Meeting.
2. Update on Bills on Which the CBA has Taken a Position (AB 1345, AB 1409, AB 1537, AB 1588, AB 1904, AB 1914, AB 1982, AB 2022, AB 2041, AB 2570, SB 103, SB 975, SB 1099, SB 1327 and SB 1576).
3. Consideration of Position on SB 1405 – Accountancy: Military Service: Practice Privilege.
4. Additional Legislation Impacting the CBA Identified by Staff After the Posting of the Meeting Notice.
5. Discussion and Possible Action to Amend Business and Professions Code Section 5076 – Peer Review.

C. Committee on Professional Conduct (CPC) (**Michael Savoy, Chair**).

No Report.

D. Strategic Plan Committee (**Manuel Ramirez, Chair**).

Report of the July 25, 2012 Strategic Planning workshop.

E. Enforcement Advisory Committee (EAC) (**Cheryl Gerhardt, Chair**).

Report of the July 12, 2012 EAC Meeting.

F. Qualifications Committee (QC) (**Fausto Hinojosa, Chair**).

No Report

G. Peer Review Oversight Committee (PROC) (**Nancy Corrigan, Chair**).

1. Report of the June 15, 2012 PROC Meeting.
2. Discussion and Possible Action on the Role of PROC.

IX. Acceptance of Minutes.

- A. Draft Minutes of the May 24-25, 2012 CBA Meeting.
- B. Minutes of the May 24, 2012 EPOC Meeting.
- C. Minutes of the May 24, 2012 LC Meeting.
- D. Minutes of the April 20, 2012 PROC Meeting.

X. Other Business.

A. American Institute of Certified Public Accountants (AICPA).

No Report.

B. National Association of State Boards of Accountancy (NASBA).

1. Update on NASBA Committees.
  - a. Accountancy Licensee Database Task Force (**Patti Bowers/Sally Anderson**).
  - b. Board Relevance & Effectiveness Committee (**Marshal Oldman**).
  - c. Education Committee (**Donald Driftmier**).
  - d. Uniform Accountancy Act Committee (UAA) (**Sally Anderson**).

XI. Closing Business.

- A. Public Comments.\*
- B. Agenda Items for Future CBA Meetings.
- C. Press Release Focus (**Lauren Hersh**).

Recent Press Releases.

- XII. Closed Session. Pursuant to Government Code Section 11126(c)(3), the CBA Will Convene Into Closed Session to Deliberate on Disciplinary Matters (Stipulations, Default Decisions and Proposed Decisions).

Adjournment.

Action may be taken on any item on the agenda. The time and order of agenda items are subject to change at the discretion of the CBA President and items may be taken out of order.

In accordance with the Bagley-Keene Open Meetings Act, all meetings of the CBA are open to the public. While the CBA intends to webcast this meeting, it may not be possible to webcast the entire open meeting due to limitations on resources.

\*Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the CBA prior to the CBA taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the CBA, but the CBA President may, at his or her discretion, apportion available time among those who wish to speak. Individuals may appear before the CBA to discuss items not on the agenda; however, the CBA can neither discuss nor take official action on these items at the time of the same meeting (Government Code Sections 11125, 11125.7(a)).